

Policy for Modification of Client Codes (All Segments)

SEBI circular ref. no. CIR/DNPD/6/2011 dated July 5, 2011 and the Exchange circular ref no. NSE/INVG/2011/18484 dated July 29, 2011 regarding modification of client codes, FCSPL Management decided that the genuine request will be accepted as described below.

1. Error due to communication.
2. Error due to punching by the dealer
3. Error due to typing the original client code / name and the modified client code / name are similar to each other.

After identifying the error as stated for the above reasons, the dealer has to inform the Managing Director and seeking permission for code change. The reason for modification has to be ascertained and analysed and genuineness as per CIR / 18716 / Dated 26.08.2011 is to be established and also it's impact on the clients should be studied before giving consent for modification. After taking the approval from the Management, the code change will be effected in the system by documenting the nature of error and the rectification.